



PTO/SB/21 (08-03)

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/757,855
	Filing Date	January 14, 2004
	First Named Inventor	Tod EARHART
	Art Unit	Not Yet Assigned
	Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	6 pages + 5 refs	Attorney Docket Number 495812004800

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement (3 pages) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. Form PTO-1449 + copy (2 pages) 2. Five (5) references 3. Return postcard
<div>Remarks</div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	MORRISON & FOERSTER LLP (Customer No. 25226) Douglas G. Hodder - 41,840
Signature	
Date	April 14, 2004

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.	
Dated: April 14, 2004	Signature: (Kathleen A. Farrell)



PATENT
Docket No. 495812004800

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

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Kathleen A. Farrell

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Tod EARHART

Serial No.: 10/757,855

Filing Date: January 14, 2004

For: SUPPLEMENTAL MEMORY HAVING
MEDIA DIRECTORY

Examiner: Not Yet Assigned

Group Art Unit: Not Yet Assigned

**INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicant submits for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Pursuant to the USPTO notice dated July 11, 2003, waiving the requirement under 37 C.F.R. § 1.98 (a)(2)(i) to provide copies of U.S. Patents and U.S. Published Applications, copies of those references are not submitted. Copies of non-patent literature are submitted herewith. Regarding references numbered 13 and 14, applicant wishes to draw the attention of the Examiner to the fact that the years of the publications submitted are sufficiently earlier than the effective U.S. filing date of

the related application so that the particular month of publication is not in issue. The Examiner is requested to make these documents of record in the application.

This Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☐ Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114. However, if applicable, a certification under 37 C.F.R. § 1.97(e)(1) has been provided.
- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required. However, if applicable, a certification under 37 C.F.R. § 1.97(e)(1) has been provided.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 - ☐ A fee is required. A check in the amount of ___ is enclosed.
 - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a check in the amount of ___ is enclosed.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate.)

Applicant would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does

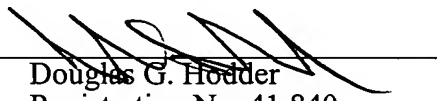
not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. §1.17(p)) is required, Applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing **495812004800**. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: April 14, 2004

Respectfully submitted,

By: _____


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Form PTO-1449

Docket Number 495812004800

Application Number 10/757,855

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

Applicant

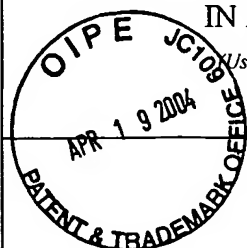
Tod EARTHART

Filing Date January 14, 2004

Group Art Unit Not Yet Assigned

Mailing Date April 14, 2004

(Use several sheets if necessary)



U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	02/06/2003	2003/0025955	Curtis			
	2.	01/19/1999	5,862,117	Fuentes et al.			
	3.	08/03/1999	5,932,045	Campbell et al.			
	4.	06/27/2000	6,081,857	Frery			
	5.	08/01/2000	6,095,445	Hentrich			
	6.	08/15/2000	6,103,454	Dhar et al.			
	7.	03/13/2001	6,201,474	Brady et al.			
	8.	10/15/2002	6,466,990	Frery			
	9.	11/26/2002	6,486,780	Garber et al.			

FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO

OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title
	10.	AMTEL Corporation (October, 2003). "AMTEL2-Wire Serial EEPROM," AMTEL, pp. 1- 20.
	11.	Hitachi Maxell, Ltd. (January, 2004). "Maxell RFID System Coil-on-Chip: The Contactless Solutions," located at < http://www.maxell.com/Home/rfid/coc.html > last visited on January 7, 2004, four pages.
	12.	Hitachi Maxell, Ltd. (October, 2002) "Maxell Coil-on-Chip RFID System, UART R/W Module, ME-M1000-UART-0001/0002" Maxell Manual, 36 pages.
	13.	Mok, F. et al. (2000). "Holographic Read-Only Memory" In <u>Holographic Data Storage</u> Coufal, H.J. et al. eds. Springer-Verlag, pp. 399-407.
	14.	Redfield, S. (2000). "Tamarack Optical Head Holographic Storage" In <u>Holographic Data Storage</u> Coufal, H.J. et al. eds., Springer-Verlag, pp. 343-357.

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.